

# CWHP algorithm for Scheduling Real-Time Transactions

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## Abstracts

*Scheduling is an important issue in the design of real time database systems; Transactions in real time systems must be scheduled in such a way that they can be completed before their deadlines, the scheduler assigns a priority to each transaction based on its deadline, we are particularly interested in conflicts that can lead to priority inversions. Priority inversion problem may occur due to the sharing of resources among transactions, which can cause unbound delay to high priority transaction; this delaying may result in the higher priority transactions missing their deadline. In this paper we proposed a new scheduling algorithm Conditional Waiting High Priority (CWHP), we used simulation model to compare the performance results of our algorithm with other existing algorithms using of the most popular priority assignment schemes Earliest Deadline First (EDF) policy, focusing in “firm deadline” real time applications and timing information about firm transactions where transactions that miss their deadlines are discarded and the objective of the real-time database system is to maximize the number of transactions that satisfied deadlines.*

**Keywords:** Real Time, Scheduling, Firm Deadline, Priority inversion, transactions, Earliest Deadline First

## I. Introduction

A real-time database system (RTDBS) is a transaction processing system that is design to handle workloads where transactions have completion deadlines [10,11,35]. For scheduling transactions to satisfy time constraints and data consistency requirements, the efficient scheduling algorithm and concurrency control protocols are required to induce a serialization order among conflicting transactions [26]. For a concurrency control protocol to accommodate timing constraints of transactions, the serialization order it produces should reflect the priority of transactions. [2,5]

The execution of concurrent transactions is scheduled based on their assigned priority. [2, 20]. The conflict appears when two transactions request the same data item and at least one of them is an exclusive lock, Under two phase locking protocol a transaction must obtain a lock before accessing a data object and release the lock

when it commits or aborts[4]. Ideally a high priority transaction should never be blocked by any lower priority transaction, the transaction which requesting a lock on data item be placed in a wait queue if its lock mode is found to be incompatible with that of the lock-holding transaction. [4,6,20]

In real-time database systems, blocking may cause priority inversion. Priority inversion is said to occur when a high priority transaction is blocked by lower priority transactions [2, 4, 20]. The alternative is to abort or restart lower priority transactions when priority inversion occurs. This wastes the work done and very costly in terms of wasted resources, and a large number of restarts will increase the workload of the system and may cause other transactions to miss their deadlines and in turn also has a negative effect on time-critical scheduling. [10,13,32]

In this paper we investigate the priority inversion problem in a particular real-time environment and proposed new algorithm “**Conditional Waiting High Priority (CWHP)**” for scheduling transactions, according to the priority assignment policy “**Earliest Deadline First**”.

The rest of this paper is organized as follows: Section II introduces related works. Section III Describe Scheduling and Concurrency Control. In section IV the Implementation of Assigning Priorities. Section V Describes the proposed methods and comparison with the previous methods, section VI described the simulation model & result.

## II. Related work

Many researchers were discussing and published papers for the issue in real time database systems and scheduling.

In [3] Authors focused on scheduling transactions with deadlines and the effect of real time constraints on concurrency control. they discussing a new group of algorithms for scheduling real time transactions which produce serializable schedules, in result of evaluated the scheduling through detailed simulation experiments they found that Earliest Deadline (ED) is the best policy

overall priority assignment policy, and There is one case where Serial execution (SE) may be superior to Conditional Restart (CR). This occurs when there is a high cost for restarting transactions. However, SE does not become a better method until the cost of restarting is more than half of the computation time of average transactions.

In [4] Robert Abbott addressed modeling of real time constraint and scheduling problems, they handled simulation experiment to study performance and behavior of variety of scheduling algorithms focused on the effect of cognizant algorithm on the concurrency of run times estimates and in the result of their work they conclude that their simulation studies will enable to develop better heuristics for scheduling real time transactions.

In [7] Ben Kao<sup>1</sup>, and Hector Garcia-Molina, discussed the various issues concerning the design and implementation of real-time databases and transaction processing, they discussing the role of application semantics and showed how they can be used to improve RTDBSs performance. They gave a brief note for that appropriate deadline assignment to transaction that is very critical to the success of many real-time database protocols.

In [18] Authors address the problem of establishing a priority ordering among transaction characterized by both value and deadline that results in maximizing the realized value. They study the relations which established between these values and deadlines in constructing the priority ordering. they evaluate the performance of several priority mapping by simulation model, they conclude that a "bucket" priority mechanism allows the relative importance of values and deadlines to be controlled introduced and studies. In conclusion of the study is that there earlier results generally carry over the value-based RTDBS domain for all the priority mappings that we have considered.

In [28] the authors found that in the environment where the physical resource is limited, a concurrency control algorithm that tends to conserve physical resources by blocking transactions that might otherwise have to be restarted is a better choice than a restart-oriented algorithm, and they found the optimistic algorithm to perform the best of the three algorithms tested under these conditions. They reconfirmed their result with other studies, However, the overall conclusions about which algorithm performed the best relative to the other algorithms were not altered significantly by this assumption

Sang H. Son & others in [32] present an intelligent dynamic scheduling algorithm for transactions in real-

time database systems. The scheduling algorithm uses timing information about transactions and the database to enhance the system's ability to meet transaction deadlines. The scheduling algorithm is implemented in a simulated pulse detection system, and its performance is demonstrated by a series of experiments. The proposed algorithm has been implemented and evaluated using a pulse detection system as a real-life, real-time database application. The experimental results show that scheduling algorithms for real-time database systems can be made more effective by making use of extra information about transactions and the database, the dynamic scheduling algorithm presented in this paper shows promising characteristics that are important to the problem of real-time transaction scheduling.

### **III. Scheduling and Concurrency Control**

The main goal of scheduling in RTDBS is to meet timing constraints and to enforce data consistency. Real-time transactions scheduling methods can be extended for real-time transaction scheduling, while the concurrency control protocols are needed for operation scheduling to maintain data consistency [31,32]

The concurrency control of transactions in a real-time database must satisfy timing constraints of individual transactions and the consistency constraints of the database. Various scheduling algorithms have been developed to schedule real time transactions to meet their timing constraints, given the arrival time deadline, execution time and criticality of each task. [14, 26, 26, 37]

In case of concurrently executed transactions we need a concurrency control mechanism to order the updates to the database so that the final schedule is a serializable, an Efficient resource scheduling algorithms and concurrency control protocols are required to schedule RTDB transactions to maximize the number of satisfied deadlines [8,23,25,30]

In general many researchers note that the scheduling transactions according to the Earliest Deadline First (EDF) policy in which priorities are based on transaction deadlines can minimize the number of late transactions especially when the system is highly loaded. [7,16], there for we found most research studies in real-time databases with hard deadlines have taken the earliest-deadline first approach for scheduling priorities, mention that Amongst the proposed resource scheduling algorithms for RTDBS. [2,10,27,15]

In general, the performance of real-time concurrency control system is affected by the method used for assigning the priorities of the transactions [37]

Our method implementation depends on comparing transaction priorities at the time of the conflict, to take appropriate decisions.

#### IV. Assigning Priorities

As considered by A. P. Buchmann Priority scheduling is a mechanism for including a limited measure of timeliness in blocking concurrency-control mechanisms [1]. Priorities are calculated based on deadlines the transaction considered as a higher priority as it closer the deadline, If the deadline of transactions is passed, however executed, either are dropped, or executed later [1, 5, 7, 15, 24]

The priority assignment usually takes into account the deadlines of the transactions because the underlying assumption is that the deadline reflects the urgency of completing the transaction. Scheduling policies such as earliest deadline first (EDF) and least slack first (LSF) are examples of policies that account for deadlines [2, 19, 5, 37].

**First Come First policy** assigns the highest priority to the transaction with the earliest release time. The primary weakness of FCFS is that it does not make use of deadline information. FCFS will discriminate against a newly arrived task with an urgent deadline in favor of an older task which may not have such an urgent deadline. This is not desirable for real-time systems.

**Earliest Deadline policy** assigns highest priority for the transaction with the earliest deadline. A major weakness of this policy is that it can assign the highest priority to a task that has already missed or is about to miss its deadline.

**Least Slack policy** here a transaction's priority depends on the amount of service time that it has received. Rolling back a transaction to its beginning reduces its effective service time to 0 and raises its priority under the Least Slack policy.

The scheduler is invoked whenever a transaction terminates and, for preemptive scheduling, whenever a new transaction arrives. The concurrency control mechanism is invoked to resolve lock conflicts whenever one occurs.

#### V. The Model

Our objective by proposed algorithm is to minimize the number of transactions that miss their deadlines, our proposed methods is a continuation of previous algorithms that proposed in [3,4]; we assume that transaction executions must be serializable by using a locking protocol. In case of transaction arrives, the concurrency control mechanism is invoked to resolve lock conflicts whenever one occurs.

When transaction enters to the system characterized by its timing constants and its data and computation requirements, the time constraints is a release time and deadline, computation requirement is an estimation run time which considered as amount of computation time required by the transaction.

Each arriving transaction has a release time  $r$  (Arrival time), Estimated run time  $E$ , and deadline  $D$  which is account as maximum commit time. [25,35]

$$- DT = AT + ST + RTT$$

- Where,
- $DT$  = Deadline of Transaction
- $AT$  = Sink Arrival time of Transaction
- $RTT$  = Resource Time of Transaction
- $ST$  = Slack Time

As discussed in [3] there four techniques to resolve conflicts that may lead to a priority inversion. In the following discussion let  $T_R$  be a transaction that is requesting a lock on a data object  $O$  that is already locked by transaction  $T_H$ . Furthermore, the lock modes are conflicting and  $T_R$  has a higher priority than the priority of  $O$ . Thus the priority of  $T_R$  is greater than  $T_H$ . In our experiment example for tested the new method and Compared with previous methods, we considered the set of transactions with release time  $r$ , deadline  $d$ , runtime estimate  $E$  and data requirements.

**Method1:** Wait techniques with earliest deadline first for resolving the conflict

**Resolution for Wait policy:** Requesting transaction always blocks and waits for the data object to become free. [3,4,7,36,37]

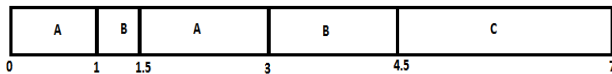
**IF**  $T_R$  conflict with  $T_H$

**Then**  $T_R$  Blocks

**I. TABLE 1**  
**EXAMPLE1**

transaction	Arrival time (r)	Execution time (E)	Deadline (d)	updates
A	0	2.5	5	X
B	1	2	4	X
C	2	2.5	8	Y

- Transaction A is the only job in the time 0, it gains the processor and executes until time 1 when transaction B arrives
- $T_B$  during this time it request and gain an exclusive lock on data object X since  $T_B$  has an earlier line than  $T_A$
- $T_B$  preempts  $T_A$  and begin to to execute
- At time 1.5  $T_B$  attempts to lock data object X which already locked by A
- Under Wait strategy B must wait until  $T_A$  is finishes and releases the lock on X thus B loses the processor
- $T_A$  resumes execution and completes its remaining 1.5 units of computation at time 3, when it commits it releases the lock on item X thus  $T_B$  is unblocked and resume execution ,it finish its remaining 1.5 units of computation at time 4.5
- $T_C$  arrives and preempts ,it has late deadline than  $T_B$ .
- $T_C$  executes to completion and finishes at time 7
- Under this schedule , $T_B$  misses its dedline by .5 time units and  $T_A$  and  $T_C$  both meet there deadline as shown in figure 6
- The over all schedule length is 7



**Method2: High Priority techniques with earliest deadline first for resolving the conflict**

**Resolution for High Priority policy:** Comparing transaction priorities at the time of the conflict. If the priority of TR is greater than the priority of object O, and thus greater than every transaction holding a lock on O, then we abort the lock holders thereby freeing the object for TR[3,4,7,36,37].

**IF** For all TH holding a lock on O

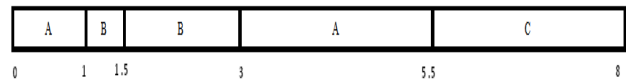
$P (TR)>P (TH) \text{ AND } P (TR)>p (T^A_H)$

**THEN** Abort each lock holder

**ELSE** TR blocks

- A runs in the first time unit during which it acquires a lock on item X

- Transaction B gains the processor at time 1 and causes a conflict at time 1.5 when it requests a lock on item X
- Since B has an earlier deadline than A and thus a higher priority, the conflict is resolved by rolling back A thereby freeing the lock on X
- Transaction B continues processing and completes at time 3
- Transaction A regains the processor and starting from the beginning, executes for 2.5 units and finishes at time 5.5
- Transaction C gains the processor at time 2, starting the execution after Transaction A release the lock and completes at time 8
- In this schedule, A misses its deadline by 0.5 units and B and C meet their deadlines.
- The overall schedule length is 8



**Method3: Conditional Restart techniques with earliest deadline first for resolving the conflict**

**Resolution for Conditional Restart policy:** estimate if TH, the transaction holding the lock, can be finished within the amount of time that TR, the lock requester, can afford to wait[3,4,7,36,37].

**IF**  $P (TR)>P (TH) \text{ AND } P (TR)<p (T^A_H)$

**THEN IF**  $SR \geq EH -PH$

**THEN** TR blocks

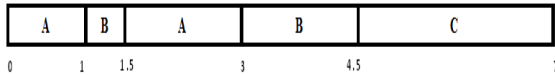
TH inherits the priority of TR

**ELSE** Abort TH

**ELSE** TR blocks

- The idea here is to estimate if  $T_H$ , the transaction holding the lock, can be finished within the amount of time that  $T_R$ , the lock requester, can afford to wait.
- a conflict occurs when B requests a lock on X at time 1.5.
- At this time the algorithm calculates the slack time for B as  $S = 4 - 2 - 0.5 = 1.5$ , the remaining run time for A=  $2.5 - 1 = 1.5$
- This equals exactly the remaining run time for A.
- Therefore, B waits and A inherits the priority of B.
- Transaction A,executes then Transaction B is unblocked and resumes execution to finish at time 4.5
- Then C executes to finish at time 7.

- In this schedule B missed its deadline, A and C transactions meet their deadline
- The overall schedule length is 7



**Proposed model:** it called **Conditional Waiting High Priority technique (CWHP)** to resolve the conflict.

**Resolution for Conditional waiting high priority:** it compares transaction priorities at the time of the conflict and if TH can be finished within the amount of time that TR can afford to wait, if not, it considers the waiting time for TR as the remaining time for TH.

IFP (TR) > P (TH) AND P (TR) < P (T<sub>A</sub><sup>H</sup>)

THEN IF SR ≥ EH - PH

THEN TR blocks

TH inherits the priority of TR

THEN IF (AT<sub>TR</sub> + RE<sub>TH</sub> + E<sub>TR</sub>) ≤ D<sub>TR</sub>

THEN TR blocks

TH inherits the priority of TR

ELSE Abort TH

The different from new method and Conditional Restart methods as it works as controller which monitors the situation by counting the remains time of TH and replacing this value with the ST value of TR, in other word all times the waiting time for TR is equaled to the remaining time to complete the process for TH,

- T<sub>B</sub> preempts T<sub>A</sub> and begin to execute
- At time 1.5 T<sub>B</sub> attempts to lock data object X which already locked by T<sub>A</sub>
- Under Conditional Waiting High Priority strategy B must wait until T<sub>A</sub> is finishes
- Our proposed algorithm Conditional Waiting High Priority technique (CWHP) counted the remaining time of execution time of T<sub>A</sub> using these Formula:

$$RT_A = 2.5 - 1 = 1.5$$

The slack time of B

$$S_B = D_B - E_B$$

$$S_B = 4 - 2 - 0.5 = 1.5$$

ST<sub>B</sub>: is how long transaction execution can be delayed while still making it possible to meet the transaction deadline

$$AT_{TR} + RE_{TH} + E_{TR} \leq D_{TR}$$

AT<sub>TR</sub>: Arrival time for transaction that request the lock  
 RE<sub>TH</sub>: Remaining time for transaction that holds the lock  
 E<sub>TR</sub>: Execution time for transaction holds the lock  
 D<sub>TR</sub>: Deadline for transaction that request the lock

The Conditional Waiting High Priority technique (CWHP): It work by two ways for silving the conflict:  
**First**: counting the remaining time of execution time of T<sub>A</sub> using these Formula:

$$RET_A = ET_A - \Delta ET_A$$

RET<sub>A</sub>: is the remaining execution time for T<sub>A</sub> which holding the lock on data object X

ET<sub>A</sub>: execution estimated time for T<sub>A</sub>

ΔET<sub>A</sub>: is the amount by serves already T<sub>A</sub> recieved  
 The deadline of transaction B calculated by formula

$$DT_B = AT_B + RET_A + E_B$$

DT<sub>B</sub>: deadline of transaction B

AT<sub>B</sub>: Arrival time of transaction B

RET<sub>A</sub>: the remaining time for execution transaction A

E<sub>B</sub>: execution time of transaction B

To Calculate how long transaction execution can be delayed while still making it possible to meet the transaction deadline we use ST<sub>B</sub>

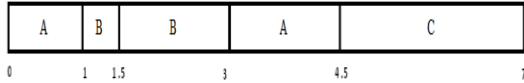
$$ST_B = D_B - E_B$$

- The CWHP compare the remaining execution time of A RET<sub>A</sub> with slack time of S<sub>B</sub>
- if RET<sub>A</sub> ≤ S<sub>B</sub>
- T<sub>R</sub> blocked until transaction T<sub>H</sub> release the lock on data object and T<sub>R</sub> resumes execution and until completes its computation.

**If we take the previous example for our methods:**

- Transaction A is the only job in the time 0, it gains the processor and executes until time 1 when transaction B arrives
- T<sub>B</sub> during this time it request and gain an exclusive lock on data object X since T<sub>B</sub> has an earlier line than T<sub>A</sub>
- The CWHP compare the remaining execution time of A RET<sub>A</sub> with slack time of S<sub>B</sub>
- if RET<sub>A</sub> ≤ S<sub>B</sub>; 1.5 ≤ 1.5
- The deadline of B
- AT<sub>B</sub> + RT<sub>A</sub> + E<sub>TB</sub> ≤ D<sub>B</sub>
- 1 + 1.5 + 2 = 4.5
- After calculation under the CWHP policy T<sub>B</sub> executed and release the lock on data object X at time 3.
- T<sub>A</sub> resumes execution and completes its computation at time 4.5, when it commits it releases the lock on item X
- T<sub>C</sub> enter the system at time 2 and start the execution at time 4.5 and finish at time 8
- The over all schedule length is 7

- By using new proposed CWHP all transaction meet their deadline as shown in figure



## VI. Simulation Experiments & Result

The simulation testbed constructed using the C# programming language within Microsoft Visual Studio Environment

The simulation is the system of queuing network where a number of users submit transaction request, any new or re-submitted transaction will enter the scheduling queue and arranged by Early Deadline First priority method. Before transaction perform operation, it must go through the concurrency control (cc) to obtain lock on the objects, if request of lock is denied, the transaction will be placed into wait queue.

Two or more transaction has a data conflict when they were requiring the same data in non-compatible lock model (rr-wr).

Our proposed new algorithm compared with existing protocols for Prove its effectiveness.

The transaction waiting for the lock may terminate or restart depends on the deadline time.

Transaction will be restarted if it still has some value of the system, only if we estimate that the transaction can complete before the deadline.

Each arriving transaction has a release time  $r$  (Arrival time), Estimated run time  $E$ , and deadline  $D$  which is account as maximum commit time.

The performance of a scheduling algorithm depends upon the average utilization of the system. At low utilizations, sufficient time exists for nearly all overrunning jobs to complete in time. As the utilization increases, overrunning jobs become more likely to miss their deadlines.

As we planned that our algorithms should schedule all transactions such that all dead line are met and that transaction with missing deadline minimized, the simulation experiments show that the new algorithm CWHP "Conditional waiting High Priority" reduce the number of deadline missed compared with four other algorithms. We examined the performance of five concurrency control mechanism, each algorithm paired to the priority protocol Early Deadline First, within different workloads such as normal load and heavy load. For a given set of transactions, order according to which the tasks are to be executed such that various constraints are satisfied.

The simulation experiments using the parameters, and were considered for scheduling as in example1: release

time, deadline, and execution time of the transaction. success ratio (commit percentage) was used as measure of performance metrics in our simulation result, it is the percentage of input transactions that the system is able to complete before their deadline.

## VII. Conclusion

Transactions in real time systems must be scheduled in such a way that they can be completed before their deadlines, the scheduler assigns a priority to each transaction based on its deadline, in this dissertation we proposed a new scheduling algorithm Conditional Waiting High Priority (CWHP), for enhanced the performance of the system by minimized the number of transaction that is missed their deadline, the algorithm checked transaction priorities at the time of the conflict and it considered the waiting time for transaction that request the lock of resources as same as the remaining time for transaction that hold the data at conflict time. We have used a simulation model for the purpose of comparing the performance results of our algorithm with other existing algorithms that are using the most popular priority assignment schemes **Earliest Deadline First (EDF)** policy. The performance of transactions performed by comparison of transactions commit percentages for each algorithm, our simulation result showed that our proposed algorithm is effective and used it decreased the numbers of transaction that missed their deadline, therefore increase the overall system performance.

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